

Cherwell District Council

Audit, Accounts and Risk Committee

16 November 2022

September / Quarter 2 2022 - Risk Monitoring Report

Report of Assistant Director – Customer Focus

This report is public

Purpose of report

This report summarises the Council's Risk monitoring position of September / Quarter 2 2022.

1.0 Recommendations

The meeting is recommended:

- 1.1 To note the monthly Risk Monitoring Report September / Quarter 2 2022

2.0 Introduction

2.1 The Council carries out regular reviews to identify risks at the earliest opportunity, address, assess and mitigate them as soon as possible. The new format of this report will enable the Audit, Accounts and Risk Committee Meeting review the Leadership Risk Register in a timelier manner.

2.2 The Leadership Risk Register is a living document and is reviewed to reflect the environment in which the organisation operates; it is also formally reviewed on a monthly basis. Our risk strategy is reviewed annually and reflects the strategic priorities of the council for the forthcoming year.

3.0 Risk Update – September / Quarter 2 2022

3.1 The Council maintains a Leadership Risk Register. This document contains strategic risks that may impact on the performance of the Council as a whole, and in particular, on its ability to deliver its corporate priorities. The latest available version of the risk register at the date this report is published is included in this report as Appendix 1.

3.2 The heat map below shows the overall position of all risks contained within the Leadership Risk Register for September / Quarter 2 2022

Risk Scorecard – Residual Risks						
		Probability				
		1 - Remote	2 - Unlikely	3 - Possible	4 - Probable	5 - Highly Probable
Impact	5 - Catastrophic	L018		L08		
	4 - Major		L09	L03-L04-L05-L06-L07-L11-L14	L01	
	3 - Moderate		L10-L15	L02-L12-L16-L17	L13	
	2 - Minor		L02-			
	1 - Insignificant					

Figure 2: Risk scorecard showing the risk scores in the Leadership Risk Register for September/ Quarter 2 2022

3.3 The Leadership Risk Score Table below shows the overall position of all risks contained within the Leadership Risk Register with the latest updates for September / Quarter 2 2022.

Leadership Risk	Score	Direction of travel	Latest Update
L01 Financial Resilience	16 High Risk	↔	Risk reviewed - 05/10/2022 – No changes
L02 Statutory functions	9 Low Risk	↔	Risk reviewed - 05/10/2022 - No changes
L03 CDC Local Plan	12 Medium Risk	↔	Risk reviewed - 13/09/2022 – No changes
L04 Business Continuity	12 Medium Risk	↔	Risk Reviewed 10/10/2022 – Mitigating actions and comments updated.
L05 Emergency Planning (EP)	12 Medium Risk	↔	Risk Reviewed 10/10/2022 – Mitigating actions and
L06 Safeguarding the Vulnerable – Operational and partnership actions	12 Medium Risk	↔	Risk Reviewed 10/10/2022 – Mitigating actions and comments updated.
L07 Health and safety	12 Medium Risk	↔	Risk reviewed 07/10/22 - Mitigating actions and
L08 Cyber Security	15 Medium Risk	↔	Risk reviewed 04/10/22 - No changes
L09 Safeguarding the vulnerable - Internal procedures	8 Low Risk	↔	Risk Reviewed 07/10/2022 - Comments updated
L10 Sustainability of Council owned companies and delivery of planned financial and other objectives	6 Low Risk	↔	Risk reviewed 14/09//2022 - Comments updated
L11 Financial sustainability of third-party suppliers and contractors	12 Medium Risk	↔	Risk reviewed 05/10/22 - No changes
L12 Corporate Governance	9 Low Risk	↔	Risk reviewed 05/10/22 - No changes
L13 Oxfordshire Housing and Growth Deal - (contract with HMG)	12 Medium Risk	↔	Risk reviewed 04/10/2022 - Risk owner updated.
L14 Workforce Strategy	12 Medium Risk	↔	Risk reviewed 07/10/2022 - No changes
L15 Covid-19 Community and Customers	6 Low Risk	↔	Risk reviewed 10/10/2022 - No changes.

L16 Covid-19 Business Continuity	9 Low Risk	↔	Risk reviewed 10/10/2022 - No changes.
L17 Post Covid-19 Recovery	9 Low Risk	↔	Risk reviewed 07/10/2022 - To be closed
L18 Cessation of joint working between CDC and OCC	5 Low Risk	↔	Risk reviewed 11/10/2022- To be closed

- 3.4 The Leadership Risk Register has been thoroughly reviewed, including re-organisation of the numbering as a consequence of risks closed in the last 6 months, also, as part of the end of year review each risk was reviewed throughout.
- 3.5 The Leadership Risk Register is reviewed by the Corporate Leadership Team as part of the monthly reporting process; however, this is a live document and as such it is updated as and when required at any point during the month.
- 3.6 During September, the Leadership Risk register didn't have any one score changes. The following risks have been closed and will not appear in the register from next month:
- **L17 Post Covid-19 Recovery (9 Low risk)**
 - **L18 Cessation of joint working between CDC and OCC (5 Low risk)**

4.0 Conclusion

- 4.1 This report provides an update on progress made during September / Quarter 2 2022, to deliver the Council's priorities through reporting on Leadership Risk Register and providing an update on its Position. The Council is committed to risk management and reviews progress against its corporate priorities on a monthly basis.

5.0 Consultation

- 5.1 This report sets out risk information for the fourth month of this financial year and as such no formal consultation on the content is required.

6.0 Alternative Options and Reasons for Rejection

- 6.1 The following alternative options have been identified and rejected for the reasons as set out below.

Option 1: This report illustrates the Council's strategic risk management. Regarding the monitoring aspects of the report, no further options have been considered. However, members may wish to request that officers provide additional information.

7.0 Implications

Financial and Resource Implications

- 7.1 There are no financial and resource implications arising directly from this report.

Comments checked by:
Michael Furness, Assistant Director of Finance / Section 151 Officer, Tel:
01295 221845 Michael.Furness@cherwell-dc.gov.uk

Legal Implications

- 7.2 There are no legal implications arising directly from this report.

Comments checked by:
Helen Lolas, Team Leader, Legal Services, Tel: 07801 400941
Helen.Lolas@cherwell-dc.gov.uk,

Risk Implications

- 7.3 This report contains a full update with regards to the Council's risk position at the end of September/ Quarter 2 2022. There are no risk implications arising directly from this report.

Comments checked by:
Celia Prado-Teeling, Performance & Insight Team Leader. Tel: 01295 221556
Celia.prado-teeling@cherwell-dc.gov.uk

Equalities and Inclusion Implications

- 7.4 There are no equalities nor inclusion implications arising directly from this report.

Comments checked by:
Celia Prado-Teeling, Performance & Insight Team Leader. Tel: 01295 221556
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8.0 Decision Information

Key Decision

Financial Threshold Met: N/A

Community Impact Threshold Met: N/A

Wards Affected

All

Links to Corporate Plan and Policy Framework

This report supports all Corporate Priorities

Lead Councillor

Councillor Richard Mould, Portfolio Holder for Corporate Services

Document Information

Appendix number and title

- Appendix 1 – Leadership Risk Register September 2022

Background papers

None

Report Author and contact details

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